



Dementias
Platform^{UK}
Medical Research Council

PROCESS - FOR ACKNOWLEDGING DPUK SUPPORT ON OUTPUTS, INCLUDING PUBLICATIONS AND POSTERS

Background

This document outlines how to ensure that DPUK funding is appropriately acknowledged on scientific and other outputs in order to fulfil the expectations of DPUK and MRC (UKRI). The DPUK Policy can be found on the DPUK website.

Procedures

For published manuscripts

The following will be included:

- **Author line recognition:** this must recognise the responsibility for the scientific integrity of the manuscript
- **Author line content:** If there is significant funding or involvement of DPUK, the authors list should be followed by 'on behalf of DPUK'. Please note that we are aware that not all journals will permit an acknowledgement in this format. However, authors should aim to incorporate 'on behalf of DPUK' in the initial submission and then discuss with editors.
- **Contributors line:** this must recognise the role of supporting scientists including those involved in data acquisition, infrastructure build and maintenance, and methods development

- **Acknowledgement section (to include one of the following, as appropriate):**

For DPUK funded projects: *'DPUK funded this work through core grant support from the Medical Research Council [MR/L023784/2]'*

For DPUK affiliated projects (networks and Experimental Medicine themes): *'DPUK provided infrastructure for this project through MRC grant ref' (insert specific CRI grant reference, or core grant MR/L023784/2" or both).*

For DPUK associated projects (Data Portal based studies): *DPUK provided data/sample/ participant access for this project: doi.... through MRC grant ref MR/L023784/2" (core funding).*

- **Other acknowledgements:** data providers should be acknowledged as participants in the work unless there has been a specific statement to the contrary that this is not necessary given by the data provider. It is anticipated that this would be recorded in the acknowledgements section as opposed to a formal authorship.

For conference poster presentations or grant applications utilising data obtained from the DPUK Data Portal

For conference poster presentations, or grant applications utilising data obtained from the DPUK Data Portal, the following will be used: *'This work was undertaken using resources in the Dementias Platform UK (DPUK) Data Portal. The Medical Research Council supports DPUK through grant MR/L023784/2.'*

For published abstracts

Reference to DPUK should be made in the text body.

For conference poster presentations

The acknowledgement section will include 'A DPUK-supported project'. Please add a copy of the DPUK logo to your poster. A high-quality logo can be obtained by contacting dpuk@psych.ox.ac.uk.

For conference oral presentations

The author line will end with 'on behalf of DPUK'. The acknowledgement section will include 'A DPUK-supported project'.

What else is required of DPUK-supported researchers?

Requirement to keep DPUK informed when submitting publications

DPUK-supported researchers should provide DPUK with details of all publications arising from their support, whether wholly or partly funded (dpuk@psych.ox.ac.uk), and must supply a copy of such publications before submission. Researchers should update DPUK as soon as the paper is submitted, accepted and published so DPUK is aware of all results entering the public domain and there is sufficient notice to arrange any publicity of the findings.

If the work has involved the use of data governed by a DPUK Data Access Agreement, the publication procedure detailed in Appendix 1 of the Data Access Agreement should be followed. Briefly, this involves written notice to Swansea University 30 days prior to the submission of any publication. Swansea will liaise with the data providers who have 20 days to object to, or request a delay to, the publication for accuracy or patent reasons. If objections are made, the Swansea team will work with the objector and publishing team to facilitate discussions, with the aim of resolving any outstanding issues.

ResearchFish requirements

As an MRC-funded body, DPUK (grant code: MR/L023784/2) is required to declare all scientific outcomes using the <https://researchfish.com/> website. If you are a past or present member of a DPUK research team (Work Package, Experimental Medicine project, Network or Award), you will need to associate your outcomes to the DPUK award on this site. Scientific outcomes are submitted annually to UKRI for review.

There is [an online summary to the type of outcomes you must record](#). You will notice outcomes include: *awards and recognition, further funding, engagement activities, research tools and methods* and more – not solely publications.

For technical queries, such as how to associate an outcome to the DPUK award on the ResearchFish website, please [see ResearchFish's support pages](#). For any non-technical queries, please contact dpuk@psych.ox.ac.uk

Open access requirements

Overview

These Open Access requirements of DPUK found below are based on the requirements of its funder (Medical Research Council, MRC) and host organisation (University of Oxford).

The MRC is overseen by UK Research and Innovation (UKRI), and in turn Research Councils UK (RCUK). RCUK's Open Access policy has been instrumental in providing wording to this document – their full policy can be found [here](#). The University of Oxford's Open Access guidance can be found [here](#).

DPUK researchers are expected to publish any reviewed research papers which acknowledge Research Council funding (for example, MRC funding) in journals that are compliant with the RCUK policy on Open Access. If applicable, a statement should also be included on how the underlying research materials - such as data, samples or models - can be accessed.

Compliant Journals

RCUK recognises a journal as being compliant with its policy if:

- A) The journal provides, via its own website, immediate and unrestricted access to the final published version of the paper, which should be made available using the Creative Commons Attribution (CC BY) licence, and allows immediate deposit of the final published version in other repositories without restriction on re-use. This may involve payment of an 'Article Processing Charge' (APC) to the publisher. **This is often called the 'Gold Route' of Open Access.**

Or,

- B) The journal consents to deposit of the final Accepted Manuscript (that is, the version of a journal article submitted by an author that has been accepted for publication in a journal and been through a peer-review process) in any repository, without restriction on non-commercial re-use and within a defined period. No APC will be payable to the publisher. **This is often called the 'Green Route' of Open Access.** *Please note, In STEM disciplines, RCUK will accept a delay of no more than six months between online publication and the final Accepted Manuscript becoming Open Access.*

To see which Open Access routes are available for your DPUK-supported Accepted Manuscript, please visit [the Sherpa Fact database tool](#). This database, developed by the University of Nottingham, provides information – at the journal title level – as to whether or not a journal has a publication policy that is compliant with the RCUK open access policy, and if so, how you can meet the policies' expectations.

If possible, RCUK has a preference for the 'Gold Route' of open access. RCUK are supporting this preference with block grants to eligible research organisations in order to fund the associated article processing charges (APCs).

Article Processing Charges

From 1 April 2013, the payment of APCs and other publication charges related to Research Council-funded research are supported through RCUK OA block grants provided to eligible research organisations. Researchers should contact their own research organisation to see whether this option is available for their individual Accepted Manuscripts.

Licences

Where Research Council funds are used to pay the APC for an Open Access paper (submission via the 'Gold Route'), it is required that the publisher makes the paper freely available under a Creative Commons Attribution (CC BY) licence.

Where Open Access is achieved through deposit of the final Accepted Manuscript in a repository (the 'Green Route'), the Research Councils would like research papers to be made available using the most liberal and enabling licences, ideally CC BY. However, the RCUK policy requires only that the manuscript is made available without restriction on non-commercial re-use – that is, the policy does not specify a particular licence - so the requirement can alternatively be met by use of the Creative Commons Attribution-non-commercial licence (CC BY NC) or equivalent. CC BY NC ND is *not compliant* with the policy.

Embargo Periods

Ideally, a research paper should become Open Access as soon as it is published online. However RCUK recognises that, in the 'Green Route' model of open access, embargo periods are currently used by some journals with business models that depend on generating revenue through journal subscriptions. As mentioned previously, where a journal does not offer an immediate Open Access option, RCUK will accept a delay between online publication and a paper becoming Open Access of *no more than six months* in STEM disciplines.

Deposition into a repository

If the Sherpa Database (see: *Compliant Journals*) suggests that you are able to undergo the 'Green Route' of Open Access for your Accepted Manuscript, you will need to deposit your manuscript into a repository (often without restriction on non-commercial re-use, and within a defined period).

For DPUK-supported, MRC-funded papers, researchers are advised to deposit their Accepted Manuscripts within the Europe PubMed Central repository, via the [Europe PubMedCentral Plus](#) website. As described in this [MRC guidance](#), Europe PubMedCentral (Europe PMC) is a stable, permanent and free-to-access digital archive of the full text, peer-reviewed research publications (and datasets) that arise from research funded by the MRC, the Wellcome Trust and other members of the Europe PMC Funders Group. A user guide and other resources on how to upload your Accepted Manuscript to Europe PMC Plus can be found [here](#).

A Note on Institutional Open Access Procedures

DPUK's host research organisation, the University of Oxford, also has its own Open Access policies and procedures in place. Researchers employed by the University of Oxford are required to 'act-on-acceptance' – that is, deposit their Accepted Manuscripts into the University's repository, ORA, via Symplectic Elements within three months of acceptance for publication (where acceptance is often confirmed through an e-mail from the journal editor).

Researchers employed elsewhere are advised to contact their research organisation to find out about the Institutional Open Access procedure it is adopting.

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